

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

SPEARMAN, LEONARD

Senior Advisor, Office of Environmental Management, Department of Energy

Date of Appointment: 10/10/2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ SPEARMAN, LEONARD [electronically signed on 11/01/2018 by SPEARMAN, LEONARD in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulation: (subject to any comments below).

/s/ Stewart, Yvonne R, Certifying Official [electronically signed on 11/09/2018 by Stewart, Yvonne R in Integrity.gov]

Other review conducted by

/s/ Stewart, Yvonne R, Ethics Official [electronically signed on 11/09/2018 by Stewart, Yvonne R in Integrity.gov]

U.S. Office of Government Ethics Certification

SPEARMAN, LEONARD -

Data Revised 11/09/2018

Data Revised 11/07/2018

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|--|----------------|--------------------|--|--------|---------|
| 1 | Texas Southern University | Houston, Texas | University/College | Director, Government and Community Relations | 9/2005 | 8/2017 |
| 2 | Harris County Municipal Utility District | Houston, Texas | Municipal Utility | Director | 5/2008 | Present |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|--|-----|----------------------|------------------|---------------|
| 1 | Teachers Retirement System of Texas (defined benefit plan) | No | \$50,001 - \$100,000 | income/per month | \$3,677 |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|---------------------------|----------------|--|--------|
| 1 | Texas Southern University | Houston, Texas | Receiving retirement income of \$3677.24/per month since 9/2017. | 9/2005 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| # | SOURCE NAME | CITY, STATE | BRIEF DESCRIPTION OF DUTIES |
|---|---------------------------|----------------|--|
| 1 | Texas Southern University | Houston, Texas | Director, Government and Community Relations |

5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|-----------------------------------|-----|-----------------------|-------------|---------------------------|
| 1 | United Airlines, Houston, TX | No | | Salary | |
| 2 | United Airlines Savings Account | No | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3 | United Airlines 401K Savings Plan | No | | | |
| 3.1 | Vanguard Target 2025 | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 3.2 | US Equity Index | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.3 | Stable Value Fund | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|-----------------------------------|-----|--------------------|-------------|---------------------------|
| 1 | TSU Savings Account | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2 | Traditional IRA | No | | | |
| 2.1 | Fidelity Government Cash Reserves | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3 | NQ Brokerage Account | No | | | |
| 3.1 | TIER REIT INC COM NEW | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4 | Prudential Variable Annuity | No | | | |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|----------------------|-------------|---------------------------|
| 4.1 | AST Prudential Growth Allocation Portfolio | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 4.2 | AST Capital Growth Asset Allocation Portfolio | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes